



# Early Years Education & Childcare Funded Entitlements



## Maintained Sector

# Warwickshire County Council Requirements of Early Education and Childcare Funding Entitlements

2024



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# **Warwickshire County Council Requirements of Early Education and Childcare Funding Entitlements 2024 Maintained Sector – title updated from Guidance and Requirements of Early Education Funding for 2-, 3- and 4-Year Olds 2022 updated July 2023 Maintained Sector**

## **Summary of Main Changes:**

The Requirements of Early Education and Childcare Funding Entitlements 2024 Maintained Sector has been updated in line with the Early Education and Childcare, Statutory guidance for Local Authorities April 2024, previously the Early Education and Childcare Statutory Guidance for Local Authorities 2018.

Particular changes apply to the expansion of the working parent entitlements as follows:

- from April 2024, the 15-hour entitlement for children of eligible working parents from the age of 2
- from September 2024, the 15-hour entitlement for children of eligible working parents from the age of 9 months
- from September 2025, the 30-hour entitlement for children of eligible working parents from the age of 9 months

Where relevant, wording has been changed throughout the document to reflect the introduction of the working parent entitlements as above and to differentiate between the current entitlements.

All reference to 30 hours eligibility codes has been changed to **eligibility codes** throughout.

Throughout the document any references to procedures and processes to claim the entitlements have been removed and will be included in a separate guidance document to accompany the Requirements.

## **Specific Changes:**

### **Green = Reference to the Guidance and Requirements of Early Education Funding for 2-, 3- and 4-Year Olds 2022 updated July 2023 Maintained Sector**

- **Section 1.3 Framework for Delivery** has been removed and the information added to Section 3 Flexibility in line with Section A2: Flexibility of the Statutory Guidance
- **Section 2 The Funding Process** has been renamed The Early Years Education and Childcare Entitlements in line with Part A: Free Places of the Statutory Guidance
- **Section 2.3 Deferred or Delayed Entry into Reception Year** has been moved to Section 2.4

- **Section 2.4 Payment Arrangements** has been moved to Section 2.3 and renamed Claiming the Funded Entitlements
- The Funding Rates have been updated for the financial year 2024/2025
- **Sections 2.5 and 2.6** have been moved to Section 2.3
- **Section 2.7 Charges** has been moved to Section 2.5 and renamed Charging. The wording has been updated to reflect the Statutory Guidance
- **Section 2.8 Parent/Guardian Declaration Form** has been moved to Section 3.1.1 in line with Section A2: Flexibility of the Statutory Guidance
- **Sections 2.9, 2.10, 2.11, 2.12 and 2.13** have been moved to Section 2.3 Claiming the Funded Entitlements
- **Section 2.14 Indicative Budgets** has been moved to Section 2.3.6
- **Section 3 Delivery of Funded Places** has been moved to Section 3 Flexibility
- **Section 4 Local Authority Requirements** has been moved to Section 5
- **Sections 4.1 and 4.2** have been moved to Section 4 Quality
- **Section 4.3 Inclusion and Special Educational Needs** has been moved to Section 2.6 in line with the Statutory Guidance
- **Sections 4.4, 4.5 and 4.6** have been moved to Section 5
- **Section 5 Tax Free Childcare** has become Section 6 and information about Universal Credit for Childcare and Wraparound Care has been added

# 1 Introduction, Roles and Requirements

## 1.1 Introduction

Welcome to Warwickshire County Council's 'Requirements of Early Education and Childcare Funding Entitlements' for the Maintained Sector.

The requirements reflect ['Early education and childcare - Statutory guidance for local authorities'](#) issued by the Department for Education. The Statutory Guidance refers to legislation contained in The Childcare Act 2006 and The Childcare Act 2016.

The Statutory Guidance applies to the duty on Local Authorities:

- to secure early years provision free of charge
- to secure sufficient childcare for working parents, including wraparound childcare
- to secure childcare free of charge for eligible working parents of 3- and 4-year-olds
- ensure the provision of information, advice and assistance to parents, and
- ensure the provision of information, advice and training to childcare providers

It also supports the introduction of the following working parent entitlements:

- from April 2024, the 15-hour entitlement for children of eligible working parents from the age of 2
- from September 2024, the 15-hour entitlement for children of eligible working parents from the age of 9 months
- from September 2025, the 30-hour entitlement for children of eligible working parents from the age of 9 months

Warwickshire County Council's Requirements of Early Education and Childcare Funding Entitlements document sets out the Local Authority's expectations for registered providers, including maintained nursery schools and schools with nursery classes, offering Funded Early Education Entitlement places, and the Local Authority support available and explains:

- what the funding entitlements are
- who is eligible
- how to apply for it
- how to meet the Local Authority requirements
- what will happen if a school receives an Ofsted judgement of Inadequate or Requires Improvement (including what happens if schools are unable to make the necessary improvements)

## 1.2 National and Local Requirements

The funded entitlements enable eligible 2-, 3- and 4-year-old children, and, from September 2024 children from the age of 9 months, to access funded early years provision before they reach compulsory school age.

Local Authorities receive the funding to enable them to meet their statutory responsibility to provide these funded places through the Dedicated Schools Grant (DSG) – this is calculated from information collected during the annual Early Years Census in January.

Completing census information is important. If providers do not complete the information on time, it has an impact on the amount of funding the Local Authority receives (and therefore the amount of funding providers may receive).

The Local Authority is responsible for maintaining the Directory of Providers, detailing those eligible to claim funding for the provision of funded places.

To be added to the Directory a setting must be:

- An early years provider or a childminder registered on the Ofsted Early Years Register, and have received a Certificate of Registration OR
- A childminder registered with a childminding agency which is itself registered with Ofsted on the Early Register
- Independent Schools and Academies taking children aged two and over and which are exempt from registration with Ofsted as an early years provider OR
- A school taking children aged two and over and which is therefore exempt from registration with Ofsted as an early years provider

All Providers must have regard to the [Special educational needs and disability code of practice: 0 to 25 years](#)

These Requirements explain how the early years entitlements are administered in Warwickshire, and how to apply for funding.

Please contact the Early Years Entitlements Team for any specific information not included in this document:

**01926 742233 / 01926 742218 / 01926 743039**

or [eyfunding@warwickshire.gov.uk](mailto:eyfunding@warwickshire.gov.uk)



## 1.3 The Role of Early Years Providers

All children should be able to take up their entitlement to funded early education in a high-quality setting. Schools should consider how to raise the quality of provision through continuous self-evaluation. Throughout the self-evaluation process, everyone who is connected with the setting should be involved – staff team, children, parents and families – to get a clear picture of strengths and limitations and how to address any gaps. Areas for development might include learning new skills, personal or professional development, more training, sharing values and ideas, taking on new staff or creating a more flexible offer.

Schools should be clear about how to deliver a more flexible service. The universal entitlement equates to 570 hours a year accessed over a minimum of 38 weeks of the year (equating to 15 hours a week). Providers can 'stretch' this offer, so families receive fewer hours per week, but over more weeks per year. This may meet some families' needs better than a set pattern of 15 hours per week for 38 weeks per year, which has been the traditional offer. The extended entitlement (an additional 570 hours per year equating to 15 hours per week over 38 weeks) equates to 1140 hours per year and can also be stretched.

Availability, flexibility, business sustainability, affordability and inclusivity are key issues, and this guide highlights information sources which can help with these areas. Consideration also needs to be given to learning, development, safeguarding and welfare requirements.

If a maintained school is judged by Ofsted to be at a standard of 'Requires Improvement' or below, support will be overseen by the Local Authority Early Years Service: Quality Standards and Improvement Team. The level of support will be assessed on a case-by-case basis and may depend upon the issues raised in the Ofsted report, and any other support that is being provided to the school e.g., by other schools.

Academies and Independent schools will also be offered Local Authority support, or they can organise their own support to address the action points within the inspection report. They are likely to receive a termly visit from the Early Years Advisory Team, to ensure that improvement plans are robust, and progress is being made.

## 1.4 The Role of the Local Authority

The Childcare Acts 2006 and 2016 place a duty on all English Local Authorities to secure free early years provision for all eligible children in their area. The Acts stipulate the type and amount of provision and the age of children to benefit.

['Early education and childcare - Statutory guidance for local authorities'](#) is a legal framework which all Local Authorities must follow for the delivery of funded early years provision for eligible children.

Local Authorities are required to secure sufficient free places of 570 hours per year over no fewer than 38 weeks of the year and up to 52 weeks of the year of the year for every eligible

child in the area from the term after their 2nd birthday, and from September 2024, from the term after the child turns 9 months old, until they reach compulsory school age (or 1140 hours per year for the extended entitlement).

The entitlement can be delivered through a range of providers including nursery schools and classes, private and voluntary sector providers, school-run provision, independent schools, and childminders.

**Wherever possible, the Local Authority will concentrate early education funding on the highest quality providers, only funding others where it is necessary to maintain sufficiency and accessibility.**

Warwickshire County Council administers the funding for all children receiving the early years entitlements within the Local Authority area. The funding is paid directly to schools, rather than parents, and is calculated on the number of hours each eligible child attends the school.

Providers from all parts of the early years sector are funded on the basis of a single funding formula – the Early Years Single Funding Formula (EYSFF). This is a government requirement, and must be ‘participation led’, which means the Local Authority funds providers based on how many children they have in the setting each term, and how many hours they attend.

A universal base rate must be used for all types of provider, although additional funding is available for Maintained Nursery Schools via a direct Government grant.

In exceptional circumstances, to protect funds, Warwickshire County Council may be required to make a financial adjustment for a school. In these situations, the Local Authority will contact the school and confirm the arrangements in writing - for example, where information is received that supports concerns about financial sustainability or closure.

## 2 The Early Years Education and Childcare Entitlements

### 2.1 Determining Eligibility for the Entitlements

#### 2.1.1 Universal Entitlement - All 3- and 4-year-olds

**Reference: A1.6 – A1.9 of the Statutory Guidance for Local Authorities**

In accordance with the Statutory Guidance, **all** 3- and 4-year-old children are eligible for the 15 hours Universal Entitlement from the start of the term after their 3<sup>rd</sup> birthday, until they reach compulsory school age.

Local Authorities are required to secure funded places offering 570 hours a year, over no fewer than 38 weeks of the year and up to 52 weeks of the year. This equates to 15 hours a week for 38 weeks of the year. Children may stretch their entitlement over more than 38 weeks (and up to 52 weeks). This means taking fewer hours per week, subject to a maximum of 570 hours a year until the child reaches compulsory school age (the beginning of the term following their fifth birthday or where the child is taking up a full-time school place in reception).

3- and 4-year-old children moving to England from another country can access their funded place on the same basis as any other 3- and 4-year-old child in the local authority area

The following table shows when a child is eligible to receive the universal entitlement for 3- and 4-year-olds (all dates are inclusive):

<b>A child born between:</b>	<b>Will be eligible for a funded place from:</b>
<b><i>1<sup>st</sup> January and 31<sup>st</sup> March</i></b>	<i>The start of the <b>Summer Term</b> following their 3<sup>rd</sup> birthday until statutory school age</i>
<b><i>1<sup>st</sup> April and 31<sup>st</sup> August</i></b>	<i>The start of the <b>Autumn Term</b> following their 3<sup>rd</sup> birthday until statutory school age</i>
<b><i>1<sup>st</sup> September and 31<sup>st</sup> December</i></b>	<i>The start of the <b>Spring Term</b> following their 3<sup>rd</sup> birthday until statutory school age</i>

There is **NO** application process required for the Universal Entitlement.

## 2.1.2 Working Parent Entitlements

**Reference: A1.10 – A1.11, A1.16 – A1.20 of the Statutory Guidance for Local Authorities**

### 2.1.2.1 3- and 4-year-old 30 Hours

In accordance with the Statutory Guidance, a child is entitled to the 30-hour entitlement for working parents of 3- and 4-year-olds from the term after **both** of the following criteria are met: (1) the child has attained the age of three and (2) the child's parent has a current positive determination of eligibility from HMRC, i.e., a valid eligibility code.

This equates to an additional 15 hours a week for 38 weeks of the year. Children may stretch their entitlement over more than 38 weeks (and up to 52 weeks). This means taking fewer hours per week, subject to a maximum of 570 hours, or a total of 1140 hours where both the Universal and Working Parent entitlements are claimed, a year until the child reaches compulsory school age (the beginning of the term following their fifth birthday or where the child is taking up a full-time school place in reception).

The following table shows when a child is eligible to receive the 3- and 4-year-old 30 Hour Working Parent Entitlements (all dates are inclusive):

<b>A child born between:</b>	<b>Will be eligible for a funded place from:</b>
<b>1<sup>st</sup> January and 31<sup>st</sup> March</b>	<i>The start of the <b>Summer Term</b> following their 3<sup>rd</sup> birthday until statutory school age</i>
<b>1<sup>st</sup> April and 31<sup>st</sup> August</b>	<i>The start of the <b>Autumn Term</b> following their 3<sup>rd</sup> birthday until statutory school age</i>
<b>1<sup>st</sup> September and 31<sup>st</sup> December</b>	<i>The start of the <b>Spring Term</b> following their 3<sup>rd</sup> birthday until statutory school age</i>

**Full details of the government's eligibility criteria for the Working Parent Entitlements can be found in the separate Guidance for Early Education and Childcare Funding Entitlements document, which accompanies these Requirements.**

### 2.1.2.2 Application Process for the Working Parent Entitlements

**Reference: A1.13 of the Statutory Guidance for Local Authorities**

In order to receive the Working Parent Entitlements funding, the parent **MUST**:

- have applied through the HMRC's website: [www.childcarechoices.gov.uk](http://www.childcarechoices.gov.uk)
- have a current confirmation of eligibility from HMRC via their Gateway account

- be issued with an 11-digit eligibility code starting with 50, to give to their childcare provider to verify eligibility. If a permanent code cannot be issued, parents must have a temporary code beginning with 11
- have a valid eligibility code for the term in which they want to start the working parent entitlement funding, provided that the eligibility code remains valid on:
  - 31 March to take up a place during the term beginning on or after 1 April
  - 31 August to take up a place during the term beginning on or after 1 September
  - 31 December to take up a place during the term beginning on or after 1 January
- ensure the eligibility code is renewed, as required by HMRC, usually every 3 months. The parent should be prompted by text or email from HMRC; however, providers should also prompt the parent prior to the validity end date

Where a parent requires further guidance, they should contact the Customer Interaction Centre at HMRC on 0300 123 4097.

Where the parent does not agree with the eligibility outcome, there is an appeal process available. The review and appeals process is managed by HMRC. Their complaints form is available at [Complain about HMRC - GOV.UK \(www.gov.uk\)](http://www.gov.uk)

**Local Authorities do not have any involvement in the application process for the working parent entitlements eligibility code.**

Once the parent has been issued with an eligibility code, the process for parents and providers is as follows:

- The parent must give the details of the eligibility code to their early years provider together with their NI number and child's date of birth
- Written consent **MUST** be obtained from the parent(s) whose details are being checked, the Parental/Guardian Declaration Form (PDF) should be completed or the provider's equivalent document and signed by the parent
- On receipt of an eligibility code from the parent the provider must validate the code using the Eligibility Checker on the Access Synergy Provider Portal to confirm the validity dates of the code before a start date for the working parent entitlement funding is agreed with the parent, preferably the term before funding will start
- The provider must make a note of the validity start date, end date and Grace Period end date to be aware of the expiry dates

**Refer to the Termly Information Letter on the website at [Early Education Funding Entitlements – Education and Early Years providers \(warwickshire.gov.uk\)](http://www.warwickshire.gov.uk) for details of the accepted eligibility codes for the term.**

### **2.1.3 Working Parent Entitlements for children in Foster Care**

**Reference: A1.12 – A1.15 of the Statutory Guidance for Local Authorities**

3- and 4-year-old children in foster care are eligible for the extended 30-hour entitlement providing that they meet **all** of the following criteria: (1) the term after the child has attained the age of three; (2) that this is consistent with the child's care plan (3) the foster parent is taking up paid employment outside of their fostering role.

To make an application, foster carers should apply directly to the responsible Local Authority, who will allocate an eligibility code to the foster carer, as the Government Childcare Service will not be able to make the relevant checks.

Other than the application, reconfirmation process and the minimum income requirement, all other eligibility criteria and provision set out in Section 2.1.2.1 above apply to children in foster care taking up the working parent entitlements in the same way as they do to all other children.

**Full details of the application process and the government's eligibility criteria for the Working Parent Entitlements for children in foster care can be found in the separate Guidance for Early Education and Childcare Funding Entitlements document, which accompanies these Requirements.**


### **2.1.4 Working Parent Entitlements - The Grace Period**

**Reference: A1.21 – A1.29 of the Statutory Guidance for Local Authorities**

The Local Authority will continue to fund a place for an existing funded child where parents cease to meet the eligibility criteria for the working parent entitlements upon reconfirmation of the code, or do not reconfirm their eligibility code at the validity end date, until the end of a limited **Grace Period** as set out in the table below. The end date of the grace period is shown on the Eligibility Checker.

During the grace period they should continue to receive the working parent entitlement funding, as set out in Section 2.1.2.1 above.

If the parent has not been able to reconfirm their eligibility code before the end of the grace period, the funding will cease for the extended hours at the grace period end date, and they will not be funded for them in the following term. Information about parents that have entered the grace period will be identified from the Eligibility Checking System via the Access Synergy database and Provider Portal.

When an eligibility code falls into the grace period, a yellow triangle with an exclamation mark (  ) will be displayed next to the child's name on the Access Synergy Provider Portal. This is to prompt providers to contact the parent to re-confirm their code.

The Local Authority should complete an audit check on eligibility codes at 6 fixed points in the year, both at the start of the term and half-term across the year (in line with the dates as listed in the table below).

The Local Authority will send reminders to the provider when an eligibility code falls into the grace period asking them to remind parents to reconfirm their code before the end of the current term. This is to avoid parents and providers from losing their working parent entitlements funding in the following term.

The Local Authority should communicate the grace period (through providers) to parents who are found to be ineligible for the working parent entitlements at the audit check date, being aware of the importance of prompting providers to notify parents in good time.

<b>Date Parent receives ineligible decision on reconfirmation:</b>	<b>LA audit date:</b>	<b>Grace Period End date:</b>
1 Jan – 10 Feb	11 February	31 March
11 Feb – 31 March	1 April	31 August
1 April – 26 May	27 May	31 August
27 May – 31 August	1 September	31 December
1 September – 21 October	22 October	31 December
22 October – 31 December	1 January	31 March

The Local Authority should consider extending the grace period set out above for a short time in very exceptional circumstances, if the parent has been forced to leave their home and paid employment, for example, where the parent is a victim of domestic abuse or in other emergency situations.

Children should not start a new working parent entitlement place at a provider during the grace period. This includes:

- where a parent falls into their grace period before the child has started a place
- where a parent falls into their grace period whilst their child is in a place, and the parent seeks to move the child to a different provider

In some exceptional circumstances, that are outside of a parent's control, parents may need to move providers during the grace period. The Local Authority will use their discretion to allow the child to continue their working parent entitlement place in a new setting. Circumstances may include if the provider closes or receives an Ofsted inspection judgement

of “inadequate” and the Local Authority withdraws funding, or the parent(s) moves home as a result of domestic abuse or other emergency situation.

A parent can take up a working parent entitlement place in their grace period if their eligibility code is valid on the termly deadline (31st August, 31st December or 31st March), even if they fall out of eligibility in the period after the termly deadline but before a provider’s term start date.

Local Authorities should ensure that parents are aware that if they cease to meet the eligibility criteria and the grace period has expired, children aged 3 and 4 can continue to take up the 15-hour universal entitlement, provided they have not exceeded the number of hours as set out at Section [2.1.2.1](#).

If a child ceases to be a foster child and the parent has a special guardianship order or has adopted the child, the same guidance relating to the grace period applies.

If the parent has been taking up the funded hours at more than one provider, the local authority should continue to fund 15 hours at the provider of the parent’s choice.

## 2.2 Additional Premiums

### 2.2.1 Early Years Pupil Premium (EYPP)

The Early Years Pupil Premium (EYPP) is additional funding, £1.00 per hour from April 2025, the equivalent of £570 for the financial year 2025/2026, paid termly, based on the funded hours claimed, to schools who have children receiving the 3- & 4-year-old Universal Entitlement **and** where the child’s parents are in receipt of qualifying benefits or other qualifying criteria is met.

Schools are able to use this extra funding to support each eligible child to improve outcomes for them. Detailed information for each child should be kept about what the funding was used for, how the decision was made to use it, and how outcomes for each child have been improved – Ofsted may ask schools about how they have supported eligible children when they inspect the setting.

**Full details of the government’s eligibility criteria for the Early Years Pupil Premium (EYPP) and how to claim the additional funding can be found in the separate Guidance for Early Education and Childcare Funding Entitlements document, which accompanies these Requirements.**



## 2.2.2 Deprivation Factor (DF)

The Deprivation Factor (DF) is an additional premium of 68p per hour from April 2025, paid to the school based on children living in a postcode that falls within the most deprived 30% of postcodes as determined by IDACI (Income Deprivation Affecting Children Index). The DF is paid automatically to the school.

If this has been added to the child's funding base rate, 'DF' will show in the **Weightings** column next to the child's name on the Access Synergy Provider Portal.

## 2.2.3 Disability Access Fund (DAF)

The Equality Act 2010 offers protection against discrimination, harassment and victimisation, and applies to statutory and non-statutory early years organisations. Local authorities must comply with the provisions of the Act in finding suitable provision for eligible disabled children.

The Disability Access Fund (DAF) is to support disabled children's access to all of the entitlements. Schools receive £938.00 from April 2025 per eligible child per calendar year. The funds could be used, for example, to support schools in making reasonable adjustments to their school and/or helping with building capacity, either for the child in question or for the benefit of children as a whole attending the school.

**Full details of the government's eligibility criteria for the Disability Access Fund (DAF) and how to claim can be found in the separate Guidance for Early Education and Childcare Funding Entitlements document, which accompanies these Requirements.**

Four-year-olds in primary school reception classes are not eligible for DAF funding.

All schools who are eligible to receive funding for the early years entitlements, qualify to receive DAF payments if they have eligible children.

Local authorities must fund all schools providing a place for DAF eligible children at a fixed annual rate of £938.00 from April 2025 per eligible child. The DAF is payable annually as a lump sum and will not be reduced if a child does not take up their full 15 hours entitlement.

Local authorities should distribute DAF funding in its entirety to schools, and DAF funding should not be offset against any other funding which the local authority may ordinarily be providing for children eligible for the DAF.

If a child is in receipt of the 2Help Funded Entitlement due to being in receipt of DLA, DAF will be paid automatically to the provider the child attends.

If a child eligible for the DAF is splitting their funded entitlement across two or more providers, the local authority will ask parents to nominate the main setting. This setting will receive the full DAF payment for the child.

If a child receiving DAF moves from one setting to another, the new setting is not eligible to receive DAF funding for this child until the anniversary of the first payment has passed. DAF funding received by the original setting will not be reclaimed.

Where children are still eligible for the DAF; the school where the child is attending should receive a second payment the term after the 12 month anniversary of the first payment.

In cases where a child who lives in one local authority attends a setting in another local authority area, the local authority where the setting is based is responsible for funding the DAF for the child and eligibility checking.

## 2.3 Claiming the Funded Entitlements

### 2.3.1 Funding Rates

**Reference: A4.6 of the Statutory Guidance for Local Authorities**

The 2024/2025 Early Education Funding rates are as follows:

**PVI settings and school run provision including maintained schools with nursery classes:**

Early Education Funding Rates	2024 – 2025 £ - per hour	2025 – 2026 £ - per hour
Funding Rate for under 2's from September 2024 – Working Parent Entitlement hours	10.07	10.42
Funding Rate for 2 Year Olds – 2Help and Working Parent Entitlement hours	7.39	7.66
Funding rate for 3- & 4-year-olds -Universal and Working Parent (Extended) Entitlement hours	5.25 (5.22 + 0.03 Teachers Pay and Pension Grant)	5.50
Early Years Pupil Premium- allocated to Universal Hours for 3- & 4-year-olds, under 2's and 2-year-olds, up to a maximum of 15 hours per week	0.68	1.00
Deprivation Factor – allocated to all hours	0.68	0.68
Disability Access Fund – annual payment for eligible children in receipt of Disability Living Allowance	910.00	938.00

### Maintained Nursery Schools only:

Early Education Funding Rates	2024 – 2025 £ - per hour	2025 – 2026 £ - per hour
Funding Rate for under 2's from September 2024 – Working Parent Entitlement hours	10.07	10.42
Funding Rate for 2-Year-Olds – 2Help and Working Parent Entitlement hours	7.39	7.66
Funding rate for 3-&-4-Year-Olds - Universal and Working Parent (Extended) Entitlement hours	5.25 (5.22 + 0.03 Teachers Pay and Pension Grant)	5.50
Maintained Nursery School Supplementary Funding paid for 3-&-4-Year-Old <u>Universal Entitlement Hours Only</u>	4.64	5.27
Early Years Pupil Premium- allocated to Universal Hours for 3-&-4-Year-Olds, under 2's and 2-Year-Olds, up to a maximum of 15 hours per week	0.68	1.00
Deprivation Factor – allocated to all hours	0.68	0.68
Disability Access Fund – annual payment for eligible children in receipt of Disability Living Allowance	910.00	938.00

Schools will be informed in advance of any changes to these rates.

The School and Early Years Finance (England) Regulations set out the framework for early years funding. These regulations are updated annually for each financial year.

To fund places, the Local Authority is required by legislation to use locally determined, transparent formulae to set the funding rates for all types of provider. Any proposed changes to the formulae must be consulted on locally.

For 2025/2026 the main requirements imposed on Local Authorities by the regulations are as follows:

- to pass-through a minimum of 96% of government funding to providers for each of the early years entitlements separately (note for 3- and- 4-year-old entitlements, the pass-through rate is calculated together for the universal and working parent hours)
- to ensure that the total funding rate (base rate plus supplements if applicable) they pay to providers for the 2Help 2-year-old entitlement is at least equivalent to their total funding rate for the 2-year-old working parent entitlements
- to include a mandatory deprivation supplement for the 3- and 4-year-old entitlements in their local formula (the deprivation supplement is not mandatory for the working parent entitlements for children aged 9 months up to and including 2-years-old)
- to restrict the total amount of planned value of funding supplements to a maximum of 12% of the total planned formula funding to providers for the early years entitlements (note for 3- and 4-year-old entitlements, the supplements cap is calculated together for the universal and working parent hours)
- to pay providers the Disability Access Fund in respect of their eligible children
- to establish a special educational needs inclusion fund for children with special educational needs taking up any of the entitlements for 2-years-old and under and 3- and 4-year-olds
- to pay providers the Early Years Pupil Premium in respect of their eligible children

### 2.3.2 Patterns of Payments

**Reference: A4.12 of the Statutory Guidance for Local Authorities**

The Early Years Entitlements Funding is provided for a minimum of 38 weeks per year. In order to assist in your financial planning, the funding will always be paid as follows:

<b>Autumn Term</b>	14 weeks
<b>Spring Term</b>	11 weeks
<b>Summer Term</b>	13 weeks

This pattern may not always correspond to school term dates. A Termly Information letter will be sent out, prior to the end of each term, with all relevant dates and deadlines for the following term and can be found on the website: [Early Education Funding Entitlements – Education and Early Years providers \(warwickshire.gov.uk\)](http://www.warwickshire.gov.uk/Early-Education-Funding-Entitlements)

Funding will continue to be paid for inset days taken up to a total of 5 days per school year, however it must be noted that **funding is paid for 38 weeks** per year and a school year is classed as **39 weeks including inset days**

The Local Authority is required to secure a minimum of 38 weeks of pre-school education over the course of an academic year. Any phased induction should be in the best interests of the child and the family and should usually be completed within three weeks.

#### **2.3.2.1 Early Years Providers (excluding Childminders)**

**PVI Providers, Academies and Maintained Nursery Schools and Classes are paid twice termly.**

**Full details of the process can be found in the separate Guidance for Early Education and Childcare Funding Entitlements document, which accompanies these Requirements.**

#### **2.3.3 Funding Claims - Dates and Payment Dates**

**Full details of Headcount Dates set by the Department for Education, deadline dates for submissions and payment dates can be found in the separate Guidance for Early Education and Childcare Funding Entitlements document, which accompanies these Requirements.**

#### **2.3.4 Entering the Estimate and Headcount Claims**

All funding claims for the Early Years Entitlements must be made using the Access Synergy Provider Portal.

This can be accessed using: <https://admissions.warwickshire.gov.uk/synergy/providers>

The school is solely responsible for submitting accurate details of children and claims on time as requested by the Local Authority. Failure to do so will result in payments to the school being delayed.

**The school must have access to the internet and the relevant associated resources and equipment to complete funding claims, sufficiency, census returns and other requests for information. All related communications are made using internet linked systems or email.**

To ensure school are kept informed a **Termly Funding Information Letter** will be sent via email at the end of each term which includes all the important dates and deadlines needed to enter the Estimate hours and Headcount claim for the next term.

**Full details of the Estimate and Headcount claims process can be found in the separate Guidance for Early Education and Childcare Funding Entitlements document, which accompanies these Requirements.**

Full guidance on how to access and use the Access Synergy Provider Portal can be found in the FIS Synergy Online Provider Portal Guidance which is emailed to providers at the start of each term and can also be found on the website at: [Early Education Funding Entitlements – Education and Early Years providers \(warwickshire.gov.uk\)](http://www.warwickshire.gov.uk/EarlyEducationFundingEntitlements-EducationandEarlyYearsproviders)

### 2.3.5 Payment Summary

The Access Synergy Provider Portal must be used to check your final Headcount **Summary** at the end of each term.

This can be accessed using: <https://admissions.warwickshire.gov.uk/synergy/providers>

The funding details on the Summary tab from the previous academic year are to be used for the school's Indicative Budget information (see Section 2.3.6).

### 2.3.6 Indicative Budgets

**Reference: A4.2 of the Statutory Guidance for Local Authorities**

Historical payment information is supplied to enable schools to manage their financial planning and give an initial budget.

Schools can access this information via The Summary Tab in the Access Synergy Provider Portal which gives the actual funding amounts paid to the setting for the Summer, Autumn and Spring term in the previous year.

Schools will be able to access actual updated budget information on a termly basis once each terms Headcount is completed. This information will be notified via The Summary Tab for the current term.

### 2.3.7 Summer Term Sufficiency Return (Except Childminders)

The Local Authority collects funded entitlements place and vacancy information from funded Early Years providers in the Summer Term during the Headcount period. The submission deadline date will be notified in the Termly Information letter.

The return is completed by selecting the **Sufficiency Tab** on the Access Synergy Provider Portal: <https://admissions.warwickshire.gov.uk/synergy/providers>

For details on how to complete the Sufficiency return please refer to the **Access Synergy Provider Portal Guidance**.

By completing this information, the school is giving Warwickshire County Council an accurate insight into place sufficiency in the local area. Being able to follow the trends in sufficiency

helps Warwickshire County Council to locate areas of high demand and investigate the need to create additional early years places to ensure parents can access their funding entitlement. Any information used for reporting purposes will be anonymised.

The Sufficiency return **MUST** be completed, there may be a delay to the final termly payment where a return is not completed.

### **2.3.8 Adjustment to Payments**

**Reference: A4.38 of the Statutory Guidance for Local Authorities**

Early Years Entitlements funding will normally be paid for the whole term to the provider the child attends on Headcount Day.

For children attending a setting on Headcount Day (or within the week of the specified Headcount date), a claim should be made for the whole of the term, even if they joined after the term started. This will enable the provider to pass a proportion of the funding to another Warwickshire setting if the child has already taken sessions with them, where agreed between the settings.

Where a child leaves a setting after Headcount, providers should make a private arrangement between themselves to agree to pass a proportion of the funding to the other setting for the remainder of the term. There is no obligation to do so. Refer to Sections [2.3.9](#) and [2.3.10](#) below.

Adjustment claims should only be entered via the Adjustment tab for a child where a claim has already been made at Headcount, when both settings have agreed to make corresponding online adjustments.

**Note:** It is Warwickshire's policy that funding claimed at Headcount must not be transferred to another setting outside of Warwickshire.

Where an arrangement is made by a setting from another local authority to transfer funding to a Warwickshire school, the school will be paid at the funding rate of the other local authority. This may be higher or lower than the funding rate paid by Warwickshire and no additional funds can be paid by Warwickshire e.g., to adjust a lower hourly rate.

Adjustments to the Headcount claim must be made using the Online Adjustment process via the Access Synergy Provider Portal:

<https://admissions.warwickshire.gov.uk/synergy/providers>

**Full details of the Adjustment process can be found in the separate Guidance for Early Education and Childcare Funding Entitlements document, which accompanies these Requirements.**

### **2.3.9 Child Leaving Before Headcount**

Where a child leaves before the Headcount date they **cannot** be included in the Headcount funding claim and the Local Authority **cannot** pay for any hours they have already attended.

Please ensure that parents are aware of this, as they will be responsible for paying for these hours as outlined in the Parental/Guardian Declaration Form (PDF).

Schools **may** be able to receive this proportion of the term's funding by agreement from the setting who makes the Headcount claim. This should be arranged directly between the providers concerned however this is not mandatory.

### **2.3.10 Child Leaving After Headcount**

Where a child leaves after the Headcount date and the school has made a funding claim for them, they are entitled to retain the funding for the remainder of the term.

If there is agreement, the school can arrange to pass the relevant proportion of the funding entitlement to the new setting for the remainder of the term. This should be arranged directly between the providers concerned however this is not mandatory.

### **2.3.11 Child Starting After Headcount**

Where a child starts the setting after the Headcount date and has moved from another setting where funding has been claimed they will not normally be entitled to further funding until the following term. See Section [2.3.10](#).

If a child joins the school after headcount for whom claim has not been made at another setting in the current term and fits within the below specified categories, a claim must be made via the Online Adjustment process via the Access Synergy Provider Portal during the specified dates as notified in the Termly Information Letter.

Categories where adjustments may be made after the termly headcount:

- A house move into the area for the child or parent
- If the child's primary care giver changes resulting in the need to change setting
- Where a sibling moves school and the child needs to move to attend adjacent/nearby nursery provision
- Military family posted in / out of the area
- Child protection and safeguarding reasons
- Where the child has not previously taken up a place and is a new starter eligible for



funding

- Family requirements change and funded hours need to be increased e.g., change in parents working patterns

Please ensure that all parents/guardians sign their Parental/Guardian Declaration Form (PDF) each term, which sets out the hours and patterns of attendance for the funded entitlement that the parent and school have agreed.

The current PDF can be found on the website at: [Early Education Funding Entitlements – Education and Early Years providers \(warwickshire.gov.uk\)](https://www.warwickshire.gov.uk/Early-Education-Funding-Entitlements)

Please contact the Early Years Entitlements Team to discuss individual situations or for further advice.

Adjustment payments will be made for the number of weeks in the term from when the change or start date occurred.

### **2.3.12 Sharing of Funding**

Every session attended by a child must be declared on the Parental/Guardian Declaration Form (PDF). Where the funded entitlement is accessed through a partnership of 2 providers or more, the child's attendance at all providers they attend must be shown on the PDF and their combined offer should be within the national minimum standards outlined in Section 3.1.

The Parental/Guardian Declaration Form (PDF) must show how the funding is going to be split over all providers and where the Universal entitlement is to be paid if the working parent (extended) entitlement for 3- and 4-year-olds is being claimed.

Where a child attends two providers and receives in total more than the maximum funded entitlement, the Local Authority will apportion the amount of funding payable in respect of that child, based on the number of hours accessed at each setting. Parents will be liable to pay the provider(s) for any additional hours taken over and above the funded entitlement.

The Local Authority will review the PDFs in place at each provider prior to apportioning the amount of funding to each provider.

### **2.3.13 Child Attendance**

Schools are responsible for ensuring parents understand the need to achieve regular, fully attended patterns of attendance, including the claimed funded entitlement hours. Schools should always check and record why a child is absent and record any follow up action they have taken if they have any concerns about the absence.

Schools must be alert to patterns of absence that may indicate wider safeguarding concerns (please also refer to Section 4.1.2 Safeguarding).

All absences must be recorded on SIMS or paper registers to show a true reflection of the absence. Absence codes must be used in line with other school pupils e.g. H - holiday (agreed), I - illness (not medical or dental appointments). **'DfE Code X - 'non-statutory school aged absence - not required to be in school' must not** be used to record absences on planned booked sessions and must only be used to record non-attendance for a child who is not booked to attend specific sessions, e.g., where a child attends for morning sessions only, the X code would be used to record attendance for this child for the afternoon session.

For further information for SIMS reporting see the WCC MIDAS team – 'SIMS Attendance' guidance available from your MIDAS Support and Training Team - 01926 414100.

And

School attendance guidance issued by the DfE [Working together to improve school attendance - GOV.UK \(www.gov.uk\)](http://www.gov.uk)

Issues of poor attendance must be proactively managed by the school and will be reviewed at audit. Failure to take robust action may result in loss of funding for the school.

Schools can only claim for hours that are regularly attended by the children, and the Parental/Guardian Declaration Form (PDF) must reflect the attendance and claim patterns for every child.

Warwickshire County Council will not penalise schools for short term absences of children, for example through sickness or a family emergency, but if absence is recurring or for extended periods the Local Authority may reclaim funding. The school's attendance/absence management policy will be considered and how effectively appropriate follow up action has been taken or support provided to the family, when considering whether funding should be reclaimed.

The school should keep all correspondence and records relating to the management of poor attendance issues.

If further guidance on how attendance can affect funding is needed, please contact the Early Years Entitlements Team.

Further guidance on Warwickshire's Children Missing from Early Education can be found here: [Child Missing from Education \(safeguardingwarwickshire.co.uk\)](http://safeguardingwarwickshire.co.uk)

### **2.3.14 Cross-Border Arrangements**

The Local Authority where the child is accessing the funded place, rather than where they live, pays the early years funded entitlement. Therefore, schools in Warwickshire should claim for all eligible children on their register irrespective of where the children live. If a child also attends another setting which is not in Warwickshire, please ensure that no more than the maximum Universal 15 hours entitlement or 30 hours working parent (extended)

entitlement for 3- and 4-year-olds are claimed between the different authorities. These details should be clearly indicated on the Parental/Guardian Declaration Form (PDF) to avoid any potential over-payments.

Cross checking arrangements are always carried out with neighbouring local authorities to identify any potential funding issues.

Out of County Children Looked After (CLA) must be identified by the school. The CLA child's carer will need to show them evidence that the child is CLA or supply details of the child's social worker for the Early Years Entitlements Team to contact. It is requested that this information is sent via the Notes tab on the Access Synergy Provider Portal during the Headcount period. After the Headcount has been completed an email will be sent to schools requesting that the Access Synergy Provider Portal is checked, and that Early Years Pupil Premium (EYPP) has been allocated to all children as expected. The Early Years Entitlements Team will need to be contacted where there continues to be a query around allocations.

### **2.3.15 Early Years Census**

***Reference: A4.17 of the Statutory Guidance for Local Authorities***

The Department for Education (DfE) requires Local Authorities to carry out an annual Early Years Census of all providers of funded entitlement places in the private, voluntary and independent sectors and school run provision (excluding maintained nursery classes and nursery schools). The Early Years Census is mandatory for Local Authorities and Providers and data must be collected at the individual child level. There may be additional Census collections as required by the Department for Education.

The Early Years Census information is collected using SIMS for school nursery class places.

*Where a school has both allocated maintained nursery class pupils and additional s27 nursery places Early Years Census information will be collected separately - maintained nursery class pupils via termly SIMS uploads and s27 pupils via Synergy annual Early Years Census in January.*

The Census information will be used to determine the funding allocated to Warwickshire County Council by the Department for Education. Any delays or errors in the data returns will affect the funding allocation for Warwickshire and may affect funding for individual providers too.

**Full details of the Early Years Census process can be found in the separate Guidance for Early Education and Childcare Funding Entitlements document, which accompanies these Requirements.**

### 2.3.16 Information for Parents

Copies of the information leaflets for parents and providers are available from the Early Years Entitlements Team on **01926 742233 / 01926 742218 / 01926 743039**

All leaflets included translated versions can be accessed via the website: [Early Education Funding Entitlements – Education and Early Years providers \(warwickshire.gov.uk\)](https://www.warwickshire.gov.uk/Early-Education-Funding-Entitlements-Education-and-Early-Years-providers)

Parents may also obtain information about the early education entitlements, and access help in choosing and applying for childcare, by going to the Warwickshire County Council website: [Help with childcare costs – Warwickshire County Council](https://www.warwickshire.gov.uk/Help-with-childcare-costs)

Information for parents regarding all government help with childcare costs can be found at: [Childcare Choices | 30 Hours Childcare, Tax-Free Childcare and More | Help with Costs | GOV.UK](https://www.gov.uk/childcare-choices)

## 2.4 Deferred or Delayed Entry into Reception Year

Warwickshire County Council provides full time places in school reception classes for all children in the September following their fourth birthday. The Universal 3- and 4-year-olds and 3- and 4-year-old working parent entitlements funding as detailed in this guidance does not apply to children attending school reception classes of maintained schools, as they will be funded through school budgets and have different administrative arrangements.

**Children attending a non-maintained (independent) school remain eligible to claim funding until they are of statutory school age, which is the term after their fifth birthday.**

The Local Authority's (LA) policy is that pupils should be educated in the year group appropriate to their chronological age.

Some children may start in Reception later in the school year, due to medical issues or because they are a summer-born child and are not considered ready to start school in September. This is referred to as Deferred Entry. The child has a school place, in their correct academic cohort, but is not taking up the place until later in that academic year.

Early Years Entitlements funding may be claimed in the usual way in respect of a child whose parent/guardian has **formally** arranged with the Head Teacher and Local Authority to **defer** the child's entry into school, until they reach statutory school age, the term following their 5<sup>th</sup> birthday. Please note that this does not apply to a child who is being "phased in" during the Autumn Term at the beginning of the reception year, as Early Years funding cannot be claimed once a child is on the school's register.

Parents must still apply for a school place in line with the co-ordinated admission arrangements, and meet the standard Local Authority deadlines, so that an offer of a school

place can be made **before** the request for deferred entry is agreed. Parents must be made aware of this requirement, and all enquiries about deferred entry should be referred to the School Admissions Service at [www.warwickshire.gov.uk/admissions](http://www.warwickshire.gov.uk/admissions)

In a small number of cases, it may be appropriate for parents to request that the child starts school a year later, so that the child is educated in the chronological year group below where they would normally be. This is referred to as Delayed Entry.

In September 2015 the Department for Education (DfE) wrote to all Local Authorities to advise that the School Admissions Code (2014) would be updated in respect of the admission of summer born children into schools. Requests for children who are due to start school can be made to the Local Authority, and those requests will be considered in line with Local Authority guidance. Requests for children to be educated outside of their year group who are already of compulsory school age will be considered on an individual basis, in line with the guidance. Warwickshire's 'Guidance Notes relating to the education of children outside of their chronological year group' can be found at [Deferring or delaying your child starting school – Warwickshire County Council](#)

Additional DfE guidance for parents on delayed entry considerations can be located, here: [Summer born children starting school: advice for parents - GOV.UK \(www.gov.uk\)](http://www.gov.uk)

Parents need to make a formal request for delayed entry, along with an application for the child to start school, in line with the co-ordinated admission arrangements. Parents must be made aware of this requirement, and all enquiries about deferred entry should be referred to the School Admissions Service at [www.warwickshire.gov.uk/admissions](http://www.warwickshire.gov.uk/admissions)

There are significant implications for a child who is educated out of year group, and parents need to consider the long-term view of their child's educational needs. It is important to also be aware that children who are in receipt of Warwickshire's SEND Inclusion Grant are not eligible to receive the grant where they delay/defer their entry into school.

If providers are aware of potential delayed or deferred entry children, these **MUST** be discussed with the Statutory Assessment Team as early as possible, to ensure Early Years providers are aware of the statutory obligations that they will have to meet when Reception aged children remain with childminders or in nursery provision.

The Statutory Assessment Team can be contacted at:  
[edassessmentteam@warwickshire.gov.uk](mailto:edassessmentteam@warwickshire.gov.uk)

## 2.5 Charging

**Reference: A1.30 – A1.40 of the Statutory Guidance for Local Authorities**

Government funding is intended to deliver 15 or 30 hours a week of funded, high quality, flexible childcare. It is not intended to cover the cost of meals, other consumables, additional hours or additional services.

Schools should be aware that they can charge for meals and snacks as part of a funded entitlement place, and that they can also charge for consumables, such as nappies or sun cream, and additional services, such as trips and specialist tuition. Consumables are items that are used by the child and must not include standard items that all children must have access to, such as standard art resources e.g., paint, or PPR for staff to support personal hygiene requirements.

Local authorities should ensure that schools are mindful of the impact of additional charges on parents, especially the most disadvantaged.

Schools who choose to offer the funded entitlements are responsible for setting their own policy on how to respond to parents who choose not to access any optional services offered, with options including waiving or reducing the cost of meals and snacks or allowing parents to supply their own meals and consumables. Schools should make their policy clear in writing to parents prior to a child taking up a place.

The local authority will not intervene where parents choose to purchase additional hours of provision or additional services, provided that this is not a condition of accessing the funded entitlement hours.

Children accessing any of the funded entitlements must receive the same quality and access to provision, regardless of whether their parents opt to pay for additional hours, services, meals or consumables.

Schools can charge for additional services over and above the funded hours and the level of such fees should be a private matter for agreement between the school and the parent. However, parents must not be required or expected to take up additional services to access a funded place. **Arrangements for charging for additional services must be clear and transparent, including separate, clear details provided as to what is included as a ‘consumable’, meal or snack.**

Parents must be permitted to send their child to the setting for funded hours without paying any charges, should they choose to do so. Parents, including disadvantaged families, must have fair access to a funded place, which must be delivered completely free of charge. Schools MUST NOT:

- charge parents “top-up” fees (any difference between a provider’s normal charge to parents and the funding they receive from the local authority to deliver funded places)

- require parents to pay a registration fee as a condition of taking up their child's funded place

The Local Authority must ensure that no other fees are being charged in relation to the funded entitlement, for example for registration or uniform. Schools risk withdrawal of funding if they do so. Schools must also ensure that parents are not charged in advance for funded places and then refunded at a later date (other than a deposit).

Schools can charge a refundable deposit to parents accessing the funded entitlements but should also consider if this would prevent take up, especially for disadvantaged families. The purpose of the deposit is to give schools certainty that a parent will take up the place. For parents just accessing the funded entitlements, schools should refund the deposit in full to parents within a reasonable time scale and, except in exceptional circumstances, by the end of the first month of attendance. Schools are entitled to retain the deposit if parents subsequently do not take up the place they have reserved.

Schools should not charge a deposit where families are accessing a 2Help place or where the school is aware that the family are on a low income or not in employment.

Where children are accessing the funded entitlement over the lunch period, any charge that a school makes for lunch must be agreed with the parents in advance or alternative arrangements made, such as the parents providing their own meals e.g., a packed lunch.

If a child's attendance exceeds the funded entitlement, schools can charge a fee for the additional hours which are not covered by the funded place.

Schools must publish their admissions criteria and any fees for services including consumables, additional hours and services and make these easily available to parents to enable parents to make an informed choice of provider.

Schools must work with parents so that parents understand which hours / sessions can be taken as funded provision. Not all schools will be able to offer fully flexible places, but schools should work with parents to ensure that as far as possible the pattern of hours are convenient for parents' working hours.

Schools must ensure their invoices and receipts are clear, transparent and itemised so that parents can see that they have received their child's funded entitlement completely free of charge and can identify and understand fees paid for any additional hours or services as listed within the fee and session information provided.

**Clear written information must be given to parents outlining the funded entitlement and any fees charged for additional services, including any extra hours that the parents have agreed to buy. This information should clearly show where funded hours form part of a longer session or contractual arrangement and clearly include what the extra charges are for listing these separately.**

The school must ensure that the business name and address is shown on invoices and receipts, so they can be clearly identified as coming from a specific provider.

## 2.6 Inclusion and Special Educational Needs

**Reference: A1.41 – A1.46 of the Statutory Guidance for Local Authorities**

To ensure an inclusive approach, schools must have regard to the [Special educational needs and disability code of practice: 0 to 25 years](#) and the Equality Act 2010 and ensure all staff members are aware of their duties under it.

Schools must have:

- An up to date SEND or Inclusion Policy that meets the requirements of EYFS and the SEND Code of Practice and describes what the setting does to identify, assess and intervene with regard to SEN and disabilities
- The school must publish a SEN information report on the school's policy for pupils with SEN and should update it annually. Details of what must be included are in [The Special Educational Needs and Disability Regulations 2014 \(legislation.gov.uk\)](#) and section 6 of the [SEND code of practice: 0 to 25 years - GOV.UK \(www.gov.uk\)](#)
- The SENDCo **must** be a qualified teacher working at the school. A newly appointed SENDCo must be a qualified teacher and, where they have not previously been the SENDCo at that or any other relevant school for a total period of more than twelve months, they must achieve a National Award in Special Educational Needs Coordination within three years of appointment
- Regular professional development for the school SENDCo and other staff to meet the needs of children with SEND
- Universal practice of identification, intervention, and monitoring of children with possible/identified SEND which must meet the requirements of the SEND Code of Practice
- Targeted and Specialist support which must be implemented, monitored, recorded and reviewed with parents/carers for any child with possible or previously identified additional educational, health or social care needs. This cycle of intervention must involve referral to external professionals as required
- A commitment to work fully with families in the development of SEND provision not only for their child but across the school
- Information on Warwickshire SEND and Advice Service (SENDIAS) which is available to all parents. Parents must be signposted to the Local Authority's Local Offer

Children and parents must be involved at all stages of identifying and assessing need, and reviewing targets identified within targeted and specialist intervention, wherever possible.

All schools must meet the requirements of the Equality Act as described in The Equality Act 2010 and schools Departmental advice for school leaders, school staff, governing bodies and local authorities May 2014 [Equality Act Advice Final.pdf \(publishing.service.gov.uk\)](#)



The Equality Act 2010 brings together disability, sex, race and other grounds of discrimination within one piece of legislation. The Equality Act 2010 requires providers to comply with two main duties:

- Not to treat a child, parent/carer or member of staff with a disability 'less favourably'
- To make 'reasonable adjustments' for those with disabilities

Where there is a newly designated SENDCo, support and training will be available from the IDS Specialist Early Years Teachers. All SENDCo's will be able to access general SEND support and advice when needed and will be expected to attend briefings and "SENDCo Surgeries" to update their knowledge and skills.

School SENDCo's can access support and guidance from the Integrated Disability Service (IDS) for children with specific needs and/or disabilities. See Section 8 for contact details.

Schools who have funded entitlement children in receipt of Disability Living Allowance (DLA) at their setting are entitled to receive an additional payment (currently £910.00 per year) to support disabled children's access to funded sessions - the Disability Access Fund (DAF). Schools are responsible for identifying eligible children, and ensuring parents complete the relevant section on the Parental Declaration Form (PDF). Please see Section 2.2.3 for more information.

## 3 Flexibility

### 3.1 Delivering the Funded Entitlements

**Reference: A2.1 – A2.17 of the Statutory Guidance for Local Authorities**

In delivering the funded entitlements and to secure flexible delivery, Local Authorities should consult with parents and involve them in local assessments of demand for flexibility and act as a broker between overall parental demand in the area and provider capacity, seeking to provide the maximum possible flexibility for parents.

Strong partnership working should be encouraged between providers from all sectors (maintained schools, academies and free schools, private, voluntary and independent providers and childminders) to ensure the market offers maximum flexibility for parents to access the funded entitlement hours to meet their needs and the needs of their child.

Schools can choose not to deliver funded entitlement places, however, where funded entitlement places are offered, Local Authorities should encourage providers to offer flexible packages of funded entitlement hours, subject to the following standards which will enable children to access regular, high-quality provision, whilst maximising flexibility for parents and ensuring a degree of stability for providers. These standards are:

- No session longer than 10 hours
- No minimum session length (subject to the requirements of registration on the Ofsted Early Years Register)
- Not before 6.00am or after 8.00pm
- A maximum of 2 sites in a single day (separate provision on a school site is counted as one site)

In order to meet their statutory responsibilities, Local Authorities are required to ensure that, where reasonably practicable, children are able to take up their funded hours in continuous blocks and avoid artificial breaks being created throughout the day, for example over the lunch period.

Schools can 'stretch' the entitlement by offering fewer hours a week over more weeks of the year, where there is provider capacity and parental demand. This means they can deliver funded entitlement places:

- Over up to 52 weeks of the year
- Outside of maintained school term times

- At weekends

**Further guidance on delivering a ‘stretched’ offer can be found in the separate Guidance for Early Education and Childcare Funding Entitlements document, which accompanies these Requirements and on the website at: [Early Education Funding Entitlements – Education and Early Years providers \(warwickshire.gov.uk\)](https://www.warwickshire.gov.uk/early-education-funding-entitlements)**

Parents must be made aware that whilst they have a choice of provider, they may not always be offered the full entitlement at the times they want at their provider of choice. The entitlement is to an early education place and does not guarantee a place at any one provider, or a particular pattern of provision.

Parents and providers must be made aware that there is no requirement that providers must be open for at least 38 weeks of the year, or that providers must offer all the funded entitlements in order to receive funding to deliver funded places.

The funded entitlement hours cannot be compressed i.e., a parent cannot take more than 15 or 30 hours per week over fewer than 38 weeks of the year. However, a parent can choose a provider that is open for fewer than 38 weeks of the year and therefore receive 15 or 30 hours a week during fewer weeks.

### **3.1.1 Parental/Guardian Declaration Form (PDF)**

***Reference: A2.2 of the Statutory Guidance for Local Authorities***

The Local Authority issues Parental/Guardian Declaration Forms each academic year to support schools to establish parental declarations setting out their hours and patterns of hours during which the funded entitlement places are offered.

The Parental/Guardian Declaration Form (PDF) is an agreement between providers and parents to commit to the provider the number of hours they claim for the whole of the term.

In order to claim the early years entitlements funding, a Parental/Guardian Declaration Form (PDF) must be fully completed, signed and retained for each child by the school.

The parent should be directed to [Help with childcare costs – Warwickshire County Council](https://www.warwickshire.gov.uk/help-with-childcare-costs) for full details of the funding entitlements **and** the Parental/Guardian Declaration Form (PDF) terms and conditions of funding before signing the form.

A copy of the Parental/Guardian Declaration Form (PDF) can be found at [Early Education Funding Entitlements – Education and Early Years providers \(warwickshire.gov.uk\)](https://www.warwickshire.gov.uk/early-education-funding-entitlements)

**Full details of how to complete the Parental/Guardian Declaration Form can be found in the Guidance of Early Education and Childcare Funding Entitlements document, which accompanies these Requirements.**

## 3.2 Published Admission Number (PAN)

All maintained nursery classes have been issued with a Published Admission Number (PAN). The PAN is the maximum number of pupils that the school can admit to the maintained nursery class. This number will have been allocated by the Local Authority. Schools must ensure they do not exceed their PAN when claiming early education funding for the maintained nursery class. If there is demand at the school for additional early years places, then the school can consider creating these via a governor run (s27) nursery.

For further information on school run provision please refer to the 'Childcare Provision on School Sites guidance', available via the Early Years & Childcare Sustainability Officers.

## 3.3 Staff Qualifications / Ratios

Staffing qualifications and ratios are stipulated by the Department for Education and published in the Statutory Framework for the Early Years Foundation Stage.

For maintained nursery classes, schools must ensure that there is at least one member of staff for every 13 children, at least one member of staff must be a qualified teacher and at least one other member of staff must hold a full and relevant level 3 qualification, as per the Statutory framework for the early years foundation stage(EYFS) [Early years foundation stage \(EYFS\) statutory framework - GOV.UK \(www.gov.uk\)](#)

Schools must ensure that staff qualifications are checked as full and relevant to ensure staffing requirements are met, this includes staffing for any lunch sessions and before / after school care.

For a full list of full and relevant DfE approved qualifications for EYFS staff: child ratios please follow this link [Early years qualifications achieved in the United Kingdom - GOV.UK \(www.gov.uk\)](#)

Where a school is offering s27 care alongside maintained nursery pupils of the school please refer to the EYFS for the relevant statutory requirements for each delivery model.

## 3.4 Space Requirements

All schools must have regard to the space requirements listed in the Statutory Framework for the Early Years Foundation Stage when considering admitting more children than the allocated PAN.

Schools must measure the usable space available allowing 2.3m<sup>2</sup> per 3- & 4-year-old child. Usable space does not include toilets, storage areas, thoroughfares, dedicated staff areas, cloakrooms, utility rooms and kitchens.

*Please refer to the Statutory Framework for the Early Years Foundation Stage for more information. [Statutory framework for the early years foundation stage for group and school providers \(publishing.service.gov.uk\)](https://publishing.service.gov.uk)*

## 3.5 Marketing and Promotion

It is important that schools review and develop marketing strategies to ensure that the nursery (and wider school offer) is advertised within the local community to keep the school visible to prospective parents. Schools should consider adding a section onto the website for the nursery, which outlines how a place can be accessed, what parents need to do, session times and any additional charges for hot meals etc.

Other marketing or promotion techniques could be:

- displaying a banner in the local area advertising funded places
- adding information to the school website or Facebook page regarding availability of places/sessions
- attending local events to publicise the school
- distribute leaflets/flyers to the local community

In order that a school can market and promote its offer effectively, it would be recommended to undertake processes such as competitor analysis, review of local demographics and SWOT analysis.

Contact your Early Years & Childcare Sustainability Officer for further advice and guidance.

## 4 Quality

It is the government's intention that all children are able to take up their funded entitlement hours in a high-quality setting. Evidence shows that higher quality provision has greater developmental benefits for children, particularly for the most disadvantaged children, leading to better outcomes.

### 4.1 Continuous Quality Improvement, Workforce Development and Safeguarding

#### 4.1.1 Quality Improvement

Every child should have access to an Early Years setting where there is excellent learning and development adapted to the needs of each individual child and delivered by well qualified and well-trained practitioners. All parents should be confident that the setting their child attends is aspiring to deliver the best quality provision.

In order to ensure that children have the best start in life, they need high quality provision.

Warwickshire County Council currently commissions learning and development opportunities through the Warwickshire Early Years Hub as a part of the Lawrence Sheriff Teaching School hub. Providers can find more information at <https://warwickshireeyh.co.uk/>

Training can be accessed at [www.warwickshireearlyyears.co.uk](http://www.warwickshireearlyyears.co.uk)

Schools are able to access training at other providers, including those delivering online training, if they wish.

#### 4.1.2 Safeguarding

Every school has a duty under the Childcare Act 2006 to comply with the Statutory Framework of the Early Years Foundation Stage, under which they are required to demonstrate that they take the necessary steps to safeguard all children in their care. Please also refer to Section 2.3.13 Child Attendance and Warwickshire's Children Missing Early Years Education guidance.

Schools must also comply with all safeguarding arrangements as laid down by Warwickshire Safeguarding Partnership. This will include completion of an annual Safeguarding Audit. Failure to complete the Audit, or any other return that the Local Authority requires, may result in a delay to funding payments.

Information may be shared with other agencies in order to ensure effective safeguarding and promote the welfare and protection of children.

### 4.1.3 Ofsted

Schools **MUST** inform the Local Authority of the outcome of an Ofsted inspection **within 3 working days**.

Following inspection, if a school is judged by Ofsted to be less than 'Good,' it is a requirement that the school works to improve the quality of their provision, by addressing the issues identified in the Ofsted inspection report. The requirements may include, where applicable, participating in training or other quality improvement programmes.

Warwickshire County Council will adhere to the requirements of the Early Education and Childcare Statutory Guidance when deciding to withdraw or suspend funding.

## 4.2 Advice and Support for Schools

Where schools categorised by Ofsted as below 'Good' are delivering the funded entitlements, they must improve the quality of their provision, and complete actions identified in their Ofsted inspection report. This may include, where applicable, participation in training or other quality improvement programmes, alongside peer support from other schools.

The Local Authority currently offers support via an Early Years Adviser or Early Years Advisory Practitioner to providers who are judged less than 'Good' by Ofsted. This support will focus on addressing the issues identified in the Ofsted report, which will form the basis for the school action plan. The most appropriate support will be decided via the Local Authority Quality, Standards and Safeguarding Team on a case by case basis, depending upon the issues identified in the Ofsted report.

Support will also be available following a Business Health Check and Funding Audit by Early Years & Childcare Sustainability Officers where areas have been identified for development or actions are required to be completed by the school.

A written record of visit will be sent to the school following any support visits given.

Copies of all documents associated with the Business Health Check and Funding Audit can be found on the website at: [Early Years and Childcare Business Support – Education and Early Years providers \(warwickshire.gov.uk\)](https://www.warwickshire.gov.uk/early-years-and-childcare-business-support-education-and-early-years-providers)

# 5 Local Authority Requirements

## 5.1 Provider Agreement

All schools and providers registered to claim funding for the early years funding entitlement places must sign to agree to the annual Provider Agreement **unless** they are a school with a maintained nursery class with an allocated PAN for 3- and 4-year-olds, and not providing any additional hours or places paid for separately by parents. All schools and providers must have regard to the current [‘Early education and childcare - Statutory guidance for local authorities’](#) and follow all Department for Education requirements.

A copy of the Provider Agreement can be found on the website at: [WCC Provider Agreement 24-25 \(PDF, 378 KB\)](#)

## 5.2 Local Authority Requirements

The Local Authority is required by legislation to secure information, advice and training for the following childcare providers:

- Those registered on the Ofsted Early Years Register who are judged less than ‘Good’ by Ofsted in their most recent inspection report
- Newly registered providers on the Ofsted Early Years Register who have not yet had an inspection report published

Information, advice and training must include the following:

- Meeting the requirements of the Early Years Foundation Stage
- Meeting the needs of children with special educational needs and disabilities, vulnerable and disadvantaged children
- Effective safeguarding and child protection

The Local Authority currently offers support to maintained schools via the Early Years Quality Standards and Safeguarding Team. Support may be offered via an Early Years Adviser to maintained schools who are judged less than ‘Good’ by Ofsted, this will depend upon the issues raised in the Ofsted report, and the support arranged via other sources e.g., school to school support.

The Local Authority will conduct Business Health Checks and Funding Audits by Early Years and Childcare Sustainability Officers to ensure that schools are meeting the requirements laid down in the Requirements of Early Education and Childcare Funding Entitlements. These requirements include:



- The Early Years Entitlements funded places are delivered completely free of charge to parents
- Funding provided is used properly and in accordance with any local authority arrangements made with providers and schools
- Providers and schools offer funded places in accordance with the [‘Early education and childcare - Statutory guidance for local authorities’](#)

Please see Section 5.4 for details of Audit Requirements.

## 5.3 Financial Management Guidance

All schools in receipt of the Early Years Entitlements funding must ensure that they have robust financial procedures in place in order to ensure that payments for funded places are administered appropriately. Schools and Academies must ensure that suitable external support is in place to review and manage financial procedures and practices robustly e.g., WES/Schools Finance.

Nursery services should be monitored to ensure that numbers and take up of places continue to be financially sustainable.

Schools must ensure that nursery place take-up, alongside other pupil numbers, is monitored and reviewed. Schools must ensure that they are proactive in promoting the offer within the local community and undertake promotion, advertising and marketing of the school.

To ensure that there are robust financial management procedures in place, officers from the Early Years & Childcare Sustainability Team will conduct regular Business Health Checks and Audits. A copy of the Business Health Check and Audit template can be found on the website at: [Early Years and Childcare Business Support – Education and Early Years providers \(warwickshire.gov.uk\)](#)

## 5.4 Audit Requirements

The Statutory Guidance for Local Authorities places a duty on the local authority to ensure:

- early education places are delivered completely free of charge to parents
- early education places are provided flexibly in a pattern which should meet the needs of parents
- that the funding provided is used properly and in accordance with any arrangements made with schools

- that schools meet the needs of disabled children and children with special educational needs
- effective safeguarding and promotion of the welfare of the children for whom the early education is provided
- that schools actively promote fundamental British values
- that schools take any measures identified in a report from Ofsted to improve the overall effectiveness of the provision
- there are effective administration arrangements

**Warwickshire County Council will audit providers on a regular basis, in conjunction with a Business Health Check, to monitor the above requirements.** The Local Authority will also audit where a concern or complaint has been received, or where additional support may be required – e.g., if the school appears to be in financial difficulties.

Please visit the website at [Early Years and Childcare Business Support – Education and Early Years providers \(warwickshire.gov.uk\)](https://www.warwickshire.gov.uk/EarlyYearsandChildcareBusinessSupport) for clarification on the information that will be requested as part of the audit process.

The Local Authority will need to be satisfied that the required paperwork has been completed correctly, the funding claim has been made in accordance with the instructions given by the Early Years Entitlements Team and that the school complies with the Statutory Guidance.

Guidance will be given at the time of the health check and audit, or on request, to support schools if they are not sure of the correct procedures or if it is found that they are not administering the funding correctly. Guidance may be in the form of email / phone support, in person visit or by signposting to written guidance.

Particular attention is given to:

- The number of hours claimed on the Parental/Guardian Declaration Form (PDF), that all the relevant sections are completed and signed, and that it corresponds to the 'Actual' funding claim made and the school's attendance records
- Children's attendance, which must match the hours claimed for by the school
- The funded place being given completely free at the point of delivery, with no 'top up' fee charged. Invoices issued to parents/guardians must clearly state the number of funded hours delivered and a breakdown of any additional hours or services as stipulated on fee sheets

Following the health check and audit, a report with the outcome, detailing any actions, will be sent. The school will be required to make any necessary changes identified as actions to meet the requirements of funding.

The Local Authority may need to undertake further visits to confirm that the requirements of funding are being met.

The school must ensure that the following information is retained for 7 years (6 years plus current year):

- Parental/Guardian Declaration Forms (PDFs)
- Actual session reports – Synergy system
- Registers or records of attendance
- Invoices and / or £0 Charge forms
- Copies of receipts

## 5.5 Additional Requirements

**The additional conditions that schools must meet are as follows:**

- Schools must ensure that standard Parental/Guardian Declaration Forms (PDF) are **fully** completed for every child eligible to claim funding prior to making the funding claim. Only one PDF needs to be completed each academic year and this form should be updated and amended termly as necessary

**Parental Contributions and Charges:**

- Warwickshire County Council must ensure that the basic entitlement is completely free at the point of delivery – schools **MUST NOT** charge any fees in respect of the funded entitlement. Any charges made for additional services such as consumable or meals must be clearly listed in writing and include a policy on how the setting will respond if a parent is unable or unwilling to pay. Schools must also ensure that parents are not charged in advance for funded places and then refunded at a later date (other than a deposit, which must be refunded to the parent within a reasonable time scale and, except in exceptional circumstances, by the end of the first month of attendance)
- Schools can charge for additional services over and above the funded hours and the level of such fees should be a private matter for agreement between the school and the parent. However, parents must not be required or expected to take up additional services to access a funded place. Arrangements for charging for additional services must be clear and transparent, including clear details provided as to what is included as a 'consumable'
- As a condition of receiving the Early Years Entitlements funding schools will need to comply with all auditing and monitoring requirements for children accessing funding. This will include the requirement to:

- Accurately complete and submit online 3 headcount forms per academic year using the Access Synergy Provider Portal (or any replacement Warwickshire County Council system)
- Accurately complete the online sufficiency return in the Summer Term each year (except Childminders) using the Access Synergy Provider Portal (or any replacement Warwickshire County Council system)
- Accurately complete and submit online the Department for Education (DfE) Early Years Census Information using either the termly SIMS count or the annual census via the Access Synergy Provider Portal (or any replacement Warwickshire County Council system)
- Accurately complete and return any other audit or monitoring information required by Warwickshire County Council or the Department for Education in relation to the Early Years Entitlements funding

Please note that all forms must be completed online, as advised in termly communications. Where a school does not complete all required forms and meet the specified deadlines funding may be delayed or withdrawn.

## **SUMMARY**

**All the requirements detailed in this document must be met. They have been introduced to ensure that funding is spent in accordance with the ‘Early Education and Childcare Statutory Guidance for Local Authorities’ and to meet the financial requirements of Warwickshire County Council.**

## 6 Government Help with Childcare Costs

All information about government help with childcare costs, including the Early Years Entitlements, can be found at [www.childcarechoices.gov.uk](http://www.childcarechoices.gov.uk)



### 6.1 Tax Free Childcare

Working parents are able to apply for Tax-Free Childcare through the digital childcare service, [www.childcarechoices.gov.uk](http://www.childcarechoices.gov.uk). For every £8 parents pay into their childcare account, the Government will add an extra £2, up to a maximum of £2,000 per child per year, or £4,000 per year, if the child is disabled. Parents can then use these funds to pay for registered childcare outside of the funded entitlements.

The eligibility criteria for Tax-Free Childcare are broadly aligned with the working parent entitlements funding. The main differences are that Tax-Free Childcare is available for children under 12, or 17 if disabled, and is not available to parents in receipt of Tax Credits, Universal Credit or childcare vouchers.

As part of their childcare service application, parents in receipt of Tax Credits, Universal Credit or childcare vouchers can choose whether they want to keep these benefits and apply for the working parent entitlement only or apply for Tax-Free Childcare as well as the working parent entitlement.

**Parents can use Tax-Free Childcare alongside the working parent entitlement to cover additional childcare costs, e.g., during school holidays.**

Many parents are not aware of the benefits of Tax-Free Childcare, and Warwickshire County Council encourages schools to promote the scheme to parents - this additional funding can help to make the school more sustainable financially, because parents are getting help with their childcare fees. This makes it easier for settings to charge a realistic market rate for their services.

Schools need to sign-up to the childcare service in order to receive Tax-Free Childcare payments using the Childcare Service at [www.childcarechoices.gov.uk](http://www.childcarechoices.gov.uk). All providers registered with a regulator (e.g. Ofsted) will have received an invitation to apply, containing the information they need to sign up.

Local Authority maintained schools will need the Local Authority's URN number and postcode to be able to sign up to receive Tax-Free Childcare payments which is:

URN: 2498125050

Postcode: CV34 4RL

**Please note:** - this does not apply to former Local Authority maintained nursery classes now being run by Academies. Academies will need to use their own Charity URN and postcode details as shown on their tax returns.

Providers who need assistance with the sign-up process should contact the digital childcare service helpline on 0300 123 4097.

## 6.2 Universal Credit for Childcare

Eligible working parents on Universal Credit are now able to receive even more financial help with their childcare costs for children under 17. This can be up to the maximum amount of £951 per month for 1 child or up to £1,630 per month for 2 or more children. Parent(s), and any partner, must be working, or due to start work, and claiming Universal Credit.

Universal Credit for Childcare is for working families claiming Universal Credit, in England, Scotland, Northern Ireland and Wales:

- With children under 17
- Up to 85% of eligible childcare costs
- Who aren't receiving Tax-Free Childcare

And can be used to help pay:

- Registered childminders, nurseries, and nannies
- Registered after-school clubs and playschemes
- Registered schools
- Home care workers working for a registered home care agency

Parents can find out more information about both Tax-Free Childcare and Universal Credit for Childcare at [www.childcarechoices.gov.uk](http://www.childcarechoices.gov.uk)

## 6.3 Wraparound Care

Wraparound childcare is *childcare that wraps around the conventional school day*, offering before and after school childcare for primary school aged children in England during term time, such as breakfast clubs and regular afterschool provision that run until 6pm or later. Wraparound childcare should be available every school day outside of regular school hours and is aimed at helping parents access more childcare and work the hours they want to.

Wraparound childcare is different from out-of-school activities, or school clubs, which are less frequent and can be a one-off activity.

From September 2024, parents can expect to see an increase in the number of wraparound childcare places available across the country with the start of a two-year national programme

of wraparound childcare support to enable schools and childcare providers to set up wraparound childcare provision either side of the school day, so that parents of school-age children can access childcare in their local area from 8am – 6pm, with the expectation that by September 2026, parents who need it have access to wraparound care, either from their school or from another provider in their local area.

Further information is available from: [Education Hub: Wraparound Childcare](#)

# 7 Frequently Asked Questions

## When can a child access a funded place? Section 2.1

- 2Help places: eligible 2-year-old children are funded from the start of the following term after their second birthday until they are eligible for the 3- and 4-year-old Universal Entitlement
- Universal Entitlement for 3- and 4-year-olds: all children are funded from the start of the following term after their third birthday, all children are entitled to up to six terms of funded early education before reaching statutory school age (the first term following their fifth birthday)
- Working Parent Entitlements: eligible children are funded from the start of the following term after their second birthday until they reach statutory school age (the first term following their fifth birthday). From September 2024, eligible children are funded from the start of the following term after they turn 9 months until they reach statutory school age (the first term following their fifth birthday)
- Depending on local arrangements for admission to school, many children start full time education in school reception classes before reaching compulsory school age
- The start date of each term is on or after:
  - Autumn term - 1<sup>st</sup> September
  - Spring term - 1<sup>st</sup> January
  - Summer term - 1<sup>st</sup> April

## What is the minimum funded early education entitlement? Section 2.1

- The 2Help 2-year-old funded entitlement with an EY Voucher Code, is for up to 570 hours per year delivered over a minimum of 38 weeks of the year (equating to 15 hours a week)
- The 3- and 4-year-old Universal funded entitlement is for up to 570 hours per year delivered over a minimum of 38 weeks of the year (equating to 15 hours a week)
- The Working Parent (Expanded) Entitlement with an eligibility code, for 2-year-olds from April 2024 and for children aged 9 months from September 2024, is for up to 570 hours per year delivered over a minimum of 38 weeks of the year (equating to 15 hours a week)
- From September 2025, the Working Parent (Expanded) Entitlement with an eligibility code, for children aged 9 months is for up to 1140 hours per year delivered over a minimum of 38 weeks of the year (equating to 30 hours a week)
- The 3- and 4-year-olds Working Parent (Extended) entitlement with an eligibility code is up to an additional 570 hours per year delivered over a minimum of 38 weeks of the year (equating to 15 hours a week)
- If both the 3- and 4-year-old Universal and Working Parent Extended hours are used, the entitlements are up to 1140 hours funding per year delivered over a minimum of 38 weeks of the year (equating to 30 hours a week)



- The funded entitlement is a guarantee of a funded place, but it does not offer a guarantee of a place at any one specific provider or a particular pattern of provision
- Parents may need to contact more than one provider to obtain the offer that meets their particular circumstances

#### **What if providers are not open for the full 38 weeks?**

- A parent can choose a provider that is open for fewer than 38 weeks of the year and receive 15 or 30 hours per week during fewer weeks
- The funded entitlement hours cannot be compressed i.e., a parent cannot take more than 15 or 30 hours per week over fewer than 38 weeks of the year

#### **Does it matter which Local Authority the child is resident in?**

- All Local Authorities have a duty to provide sufficient childcare, so far as is reasonably practicable, for the Universal 3- and 4-year-olds entitlement, the 2Help 2-year-old entitlement and the Working Parent Entitlements.
- Local Authorities receive funding for the number of children attending funded Early Entitlement places within their area, regardless of where the children live
- No child should be refused a funded place based on where they live and the Local Authority in whose area the setting is situated will receive and administer the funding

#### **Will parents be expected to make a financial contribution towards their child's funded early education place? Section 2.5**

- Parents cannot be required to contribute to the cost of the basic funded entitlement but may be charged fees for meals, other consumables, additional hours or additional services
- Parents should be made aware of these charges in advance of their child taking up a funded place
- Providers must not levy any fee in respect of the funded entitlement, nor should they charge parents fees in advance for their entitlement to be refunded later (other than a deposit to reserve a place, which must be refunded within a reasonable time scale)
- All invoices for parents must clearly show the number of funded entitlement hours they have received completely free, and how the charges have been calculated for any additional hours/services that the parent has agreed to pay for over and above the funded entitlements

#### **Do parents have a right to a place with a particular provider?**

- The right to a funded early education place is for a funded place in the local area, not the right to a funded place with a particular provider or for a specific session

### **What happens to the early years entitlements funding if a child moves from one provider to another during the course of a term? Section 2.3.9 and 2.3.10**

- Normally the setting where the child is attending during headcount week receives the funding for the whole of the term
- Adjustments to payments for the early entitlements funding will only be made in exceptional circumstances as outlined in Section 2.3.11 of the Requirements of Early Education and Childcare Funding Entitlements
- Providers should use Parental/Guardian Declaration Forms, which set out the hours and patterns of access to the funded entitlement that the parent and provider have agreed to, usually on a termly basis. The Parental/Guardian Declaration Form can be found on the website at: [Early Education Funding Entitlements – Education and Early Years providers \(warwickshire.gov.uk\)](http://www.warwickshire.gov.uk/Early-Education-Funding-Entitlements)

### **What happens if a child attends sessions at more than one provider?**

- Parents should detail the hours and pattern of attendance for all settings their child attends on the Parental/Guardian Declaration Form (PDF)
- Where a child attends two providers and receives in total more than the minimum funded entitlement, if a local agreement cannot be made on how the funding will be allocated, the Local Authority will apportion the amount of funding payable in respect of that child, based on the number of hours accessed at each provision on a pro-rata basis

### **Can parents receive the early entitlements funding if their child attends both a School Nursery and PVI provider?**

- The same rules apply to the Maintained Nursery Class or School and PVI sector. Parents should detail the hours and pattern of attendance for all settings their child attends on the Parent/Guardian Declaration Form (PDF)
- If a child attends two providers and receives in total more than the minimum funded entitlement, if a local agreement cannot be made on how the funding will be allocated, the Local Authority will apportion the amount of funding payable in respect of that child based on the number of funded hours accessed at each provision on a pro-rata basis

### **How is funding for the provision of the early years entitlements places allocated to Local Authorities?**

- Funding is provided through the Dedicated Schools Grant (DSG), which is a ring-fenced grant provided to Local Authorities to fund educational provision in all types of providers. This includes Private, Voluntary and Independent providers offering the funded early years entitlements
- The Warwickshire Schools Forum approves how the DSG is allocated, and the Early Years sector has representatives who sit on this body. Local Authorities have a statutory duty to secure sufficient funded places for the Universal 3- and 4-year-olds entitlement, the 2Help 2-year-old entitlement and the Working Parent Entitlements

- Local Authorities are responsible for determining the rate at which providers will be funded for delivering the funded entitlements and the arrangements for making associated payments
- The Warwickshire Schools Forum and the EY Funding Working Group approves the level of funding rates for early years providers, based on the Department for Education's guidance

## 8 Where to Get Help and Useful Contacts

Early Years and Childcare, Entitlements, Sufficiency and Business Team  
Shire Hall  
Market Place  
Warwick CV34 4RL

Website: [Early Education Funding Entitlements – Education and Early Years providers \(warwickshire.gov.uk\)](http://www.warwickshire.gov.uk/early-education-funding-entitlements-education-and-early-years-providers)

### Mandy Latham

Early Years & Childcare Entitlements, Sufficiency & Business Lead Commissioner

Tel: 01926 742216

Email: [mandylatham@warwickshire.gov.uk](mailto:mandylatham@warwickshire.gov.uk)

### Early Years & Childcare Administrator

Early Years & Childcare Entitlements, Sufficiency & Business Team

Email: [earlyyearsadmin@warwickshire.gov.uk](mailto:earlyyearsadmin@warwickshire.gov.uk)

### Early Years Entitlements Funding Enquiries

PVI, Academies, Maintained Nursery Schools and Classes

Tel: 01926 742233

Email: [eyfunding@warwickshire.gov.uk](mailto:eyfunding@warwickshire.gov.uk)

Website: [Early Education Funding Entitlements – Education and Early Years providers \(warwickshire.gov.uk\)](http://www.warwickshire.gov.uk/early-education-funding-entitlements-education-and-early-years-providers)

### Childminder Funding Enquiries

Tel: 01926 743039

Email: [childminderfunding@warwickshire.gov.uk](mailto:childminderfunding@warwickshire.gov.uk)

Website: [Early Education Funding Entitlements – Education and Early Years providers \(warwickshire.gov.uk\)](http://www.warwickshire.gov.uk/early-education-funding-entitlements-education-and-early-years-providers)

### 2Help Funding Application Enquiries

Tel: 01926 742218

Email: [2help@warwickshire.gov.uk](mailto:2help@warwickshire.gov.uk)

Website: [Help with childcare costs – Warwickshire County Council](http://www.warwickshire.gov.uk/help-with-childcare-costs-warwickshire-county-council)

### Early Years & Childcare Sustainability and Business Support Enquiries

Email: [earlyyears@warwickshire.gov.uk](mailto:earlyyears@warwickshire.gov.uk)

Website: [Early Years & Childcare Business Support](http://www.warwickshire.gov.uk/early-years-childcare-business-support)

### Early Years Advisors

Tel: 01926 742547

Email: [earlyyearsadvisors@warwickshire.gov.uk](mailto:earlyyearsadvisors@warwickshire.gov.uk)

### Integrated Disability Service (IDS) Birth to Five Team

Tel: 01926 413737 (option 6) or 01926 413 777

Email: [idsteachingandlearning@warwickshire.gov.uk](mailto:idsteachingandlearning@warwickshire.gov.uk)



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Website: [www.warwickshire.gov.uk/fis](http://www.warwickshire.gov.uk/fis)

## Department for Education

[www.gov.uk/government/organisations/department-for-education](http://www.gov.uk/government/organisations/department-for-education)



Easy access to the public services you use and the information you need, delivered by the UK government

[www.gov.uk](http://www.gov.uk)

[www.gov.uk/government/organisations/ofsted](http://www.gov.uk/government/organisations/ofsted)

### HMRC

Apply for the working parent eligibility code and Tax-Free Childcare - [www.childcarechoices.gov.uk](http://www.childcarechoices.gov.uk)  
Customer Care Line: 0300 123 4097



[www.warwickshire.gov.uk](http://www.warwickshire.gov.uk)

[www.warwickshire.gov.uk/childcarecosts](http://www.warwickshire.gov.uk/childcarecosts)

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