# Warwickshire County Council Highway Authority

**Pre-application Charging Scheme** 

**June 2011** 



Working for Warnickshire

### Introduction

Warwickshire County Council's Highway Authority operates a scheme of charging for preapplication advice for development proposals and project work.

This note provides guidance on the procedure and charging arrangements for preapplication advice.

The Highway Authority considers around 2,000 consultations each year. The advice of the Highway Authority is an important consideration for the Planning Authority when determining planning applications.

The Highway Authority welcomes and encourages discussions before a developer submits a planning application. These discussions can result in better quality applications which stand a better chance of a successful outcome and help speed up the decision making process after submission. As a consequence they can help to minimise subsequent costs and avoid abortive applications.

To enable the Highway Authority to provide this service to a consistent and high standard the Highway Authority allocates significant resources to the service. The Council has decided that the cost of providing the service should be recovered directly from the developer and not fall as a general cost to the council taxpayer, in accordance with The Local Government Act 2003. It should be noted that the current statutory planning fees do not cover the cost of pre-application advice given by the Highway Authority.

# **Development Management approach**

The principle of front loading the local planning process is detailed within Planning Policy Statement 12: Local Development Frameworks. The document established the need for a streamlined and efficient process to ensure housing targets can be met, one of the proposed improvements is to 'front load' the planning process ensuring that discussions are undertaken at the earliest opportunity.

Planning Policy Statement 3: Housing highlights the need for a collaborative working approach, which should be initiated at an early stage of the planning process to ensure that development is delivered to a high standard and housing objectives can be met.

The recently published Manual for Streets (March 2007) and subsequent MfS 2 also emphasize the need for a collaborative approach to shaping development proposals, where developers, local authorities and other public agencies work together to ensure developments are designed and delivered with consideration of all relevant issues.

# What do we expect of you?

Applicants are expected to be aware of WCC's and the LPA's policies in considering the early stages of a proposal as this provides the most efficient basis for discussion. Applicants will be expected to highlight and explain where, and why, current policies do not form the basis of a submission.

While we appreciate that some information may not be available for pre-application discussions, we expect a minimum level of information to be provided to enable us to provide quality advice and guidance and ensure that time is used effectively

# The benefits of pre-application discussions

- Understanding how national, regional and local guidance will be applied to your development
- Potential for reducing the time your professional advisors spend in formulating your proposals
- Written confirmation of the advice given at the pre-application stage
- Indicate any proposals which are completely unacceptable, so saving the cost of pursuing a formal application
- Identify if specialist input will be required
- Identify the supporting documents that will be required to be submitted with a formal application to be considered favourably by the Highway Authority
- Advice that is consistent, reliable, up to date and tailored to your needs

If an application is submitted which requires significant change, where pre-application advice has not been sought or followed or where no explanation has been provided to support a proposal which does not follow published advice, the County Council will respond to the District Council based upon the information submitted with the application, it is unlikely that, at that stage, an applicant will be invited to discuss the proposal.

We therefore expect that any applicant will seek pre-application advice before committing to make an application.

# What are the levels of charging?

The fee is directly related to the complexity of the proposed development and reflects the amount of time required and the need for possible ongoing update meetings where larger developments are proposed.

### Category A - Small Scale Development - £60 (£50 + VAT)

- 2 or fewer dwellings
- Up to 100 sqm commercial floorspace
- Dropped kerbed accesses

### Category B - Small Scale Development - £120 (£100 + VAT)

- 3 to 9 dwellings
- 100 500 sqm commercial floorspace
- Change of Use of up to 500 sqm floor space
- Telecommunication masts

## Category C - Medium Scale Development - £600 (£500 + VAT)

- 10 to 49 Dwellings
- 500 sqm to 1,000 sqm Commercial Floorspace
- Change of Use of up between 500 and 1,000 sqm
- Minerals and Waste sites below 1ha

# Category D - Large Scale Development - £900 (£750 + VAT)

- 50 to 79 Dwellings
- 1,000 sgm to 2,000 sgm Commercial Floorspace
- Reserved matter applications for outline schemes with category E
- Other developments requiring;
  - Transport Statements<sup>1</sup>
  - o Travel Plan Statements<sup>2</sup>
- Amendments to previously agreed schemes within category E
- Change of use between 1,000 and 2,000 sqm
- Minerals and Waste sites between 1ha & 15ha

### Category E - Major Scale Development - £1800 (£1500 + VAT)

- 80 to 200 Dwellings
- 2000 sqm to 5,000 sqm or more Commercial Floorspace
- Change of use between 2,000 and 5,000 sqm
- Minerals and Waste sites between 1ha & 15ha
- Other developments requiring;
  - Transport Assessments<sup>1</sup>
  - o Travel Plans<sup>2</sup>

### Category F - Project/Major Work - £2400 minimum fee (calculated on request) (£2000 + VAT)

- 200 + Dwellings
- 5,000 sqm or more Commercial Floorspace
- Change of use of over 5,000 sqm
- Minerals and Waste Sites over 15ha

If your development does not clearly fall within an above category please contact the Highway Authority for further advice

<sup>&</sup>lt;sup>1</sup> Thresholds can be found in the Department for Transport - Guidance on Transport Assessments, Appendix B

<sup>&</sup>lt;sup>2</sup> Thresholds can be found in the Department for Transport – Good Practice Guidelines: Delivering Travel plans through the Planning Process, Chapter 4

# **Exemptions**

The charging scheme will not apply to enforcement or advice to any local resident affected by a development. Such advice at this time will continue to be provided free of charge.

Advice to District and Borough Councils on the following policy work will continue to be provided free of charge:

- Advice on policy preparation of Master Plans
- Advice on policy preparation of Local Development Framework Submissions
- Advice on policy preparation of Strategic Sites

No chargeable advice will be given over the telephone.

# **Additional Charges**

The standard charge for major development/ project work inquiries relates to the amount of time taken by the case officer(s), from the investigation stage to a meeting with the applicant and the subsequent written confirmation of advice.

The Authority reserves the right to advise that the scope for further discussion has been exhausted. Any additional work required to respond to additional queries beyond this point will be charged at an hourly rate. Hourly rates will be charged at cost. Where additional specialist advice is required to be provided by other groups within Warwickshire County Council, fees will be charged at cost.

Additional meetings will only be attended where all action points agreed at the previous meeting have been addressed to our satisfaction and may require an additional fee.

# **Payment of Charges**

All fees are required upfront and no response to your enquiry will be possible until payment has been received and processed.

# **Pre-application Process**



You complete the pre-app advice form to request pre-application advice and attach the information we require



if YES



Within 10 working days we will let you know if the service is appropriate and confirm the fee that is required for the advice requested



if NO

You will receive written confirmation of who will be the officer dealing with your enquiry and an invoice for the appropriate amount. If necessary additional information will be request

We will let you know what other sources of advice are available



Once the information has been received the officer will contact you to arrange a meeting if required. If a meeting is not required we will confirm the information submitted will enable us to provide advice



Attend a Pre-application meeting (if required) with our team. The LPA case officer can also be invited to attend



Within 21 working days of the meeting, or receipt of a full submission, we will write to you confirming our advice. We will also outline any actions you may need to take in order to make your application valid.



If, following our letter you request further meetings or advice a further fee may be required

# **Information Required for Pre-application Discussion**

Warwickshire County Council's Highway Authority requires a sufficient level of information to be provided before any pre-application advice is given or meetings are attended. This is to enable us to provide quality advice and guidance to be given and ensure the meeting time is used effectively.

The following list is the requirement for information. You will be informed at the relevant stage of the process if additional information is required.

You must ensure that we receive all of the information 10 working days before a preapplication meeting otherwise the meeting may need to be rescheduled. The more information that is provided to the Highway Authority the better able we will be to ensure that all the issues are identified early in the discussion process.

The following information is required:

- Confirmation that you are willing to pay the appropriate fee by signing the declaration,
- A site location plan (scale 1:1250) with site extents indicated,
- Description, (including site layout plans) of the proposed development and schedule of uses, (sketch plans for dropped kerbed accesses maybe acceptable)

In addition the following information should be provided at the earliest point available:

- Reference to supporting national, regional and local Planning documents and policies
- Schedule of existing uses, including planning history with reference numbers,
- Parking Strategy, including provision of parking for all forms of transport
- Relevant data collected to date.
- Summary of reasons supporting site access/highway works proposals, including plan (scale 1:200 or similar) with achievable visibility splays indicated
- Location plan of key services indicating locations of education, employment, food retail, non-food retail and health care facilities
- Final Stage 1 Road Safety Audit (if required) of site access and designers response, including amended plans,

For Category D proposals and above, the following information should also be provided at the earliest point available;

- Information related to any necessary Transport Statement/Assessment
- Proposed trip rates supported with TRICS outputs and site selection methodology
- Proposed traffic growth factors supported with NTEM/TEMPRO growth factors and methodology

### Standard of Service

If you have a proposal which is likely to be subject to a charge you can contact us in a number of ways:

Write to us at: Highway Control Team, Planning and Development Group, Environment and Economy, PO BOX 43, Shire Hall, Warwick, CV34 4SX Email us at highwayconsultation@warwickshire.gov.uk Telephone us on (01926) 412362 Visit our web site www.warwickshire.gov.uk

When you contact us we will ask you to fill out a 'pre-application advice form' and provide us with the required information (as above).

The form can be sent to you by post, email or can be downloaded from our website

### What Will You Get From the Service?

### We will:

- Provide written confirmation within 10 working days of receiving your request to tell you whether the service is right for you
- Provide details of what further information you may need to supply
- Contact you to arrange a date for a meeting if required
- Arrange a meeting with you and invite the District council planning officers to advise you on your case, including any site visits needed
- Provide detailed written confirmation within 21 working days of the meeting, or receipt of a full submission, of our advice. This will include what you will need to supply to support your application
- Advise on whether a Section 106 agreement is likely and the process we use to agree it (this will cover Highway related requirements and not Education, libraries etc)
- Ensure any necessary confidentiality
- Advise that will remain directly relevant to the proposals for 90 days.

# **Pre-application Meeting**

Subject to the availability of all of the required information, the date and venue for the preapplication advice meeting will be confirmed by all parties.

Normally, the meeting will be held at the Highway Authority's offices in Barrack Street, Warwick, however, we can also consider site meetings or alternative venues if required.

## **Written Confirmation of Officer Advice**

Following the pre-application meeting, or the submission of a full pre-application package, you will receive a written response confirming our advice. Our aim is to issue a response within 21 working days of the meeting being held. Where this is not possible due to the issues being more complex, a specific time scale reflecting this will be agreed at the meeting.

If you request further discussions following the receipt of this written advice a further fee is likely to be required.

Where proposals for project work, large or major schemes with highly complex issues a series of meetings or pre application submissions may be required. This will be discussed and an approach agreed during an initial pre-application meeting. An additional fee may be required.

Additional meetings will only be attended where all action points agreed at the previous meeting have been addressed to our satisfaction.

### **Please Note**

Requesting Warwickshire County Council's Highway Authority's Pre-Application advice is not mandatory. However the Highway Authority will no longer enter into discussion over the in depth scope or content of any specialist highways and transport advice outside the Pre-Application Advice Scheme.

Any advice given by the Highway Authority officers for pre-application enquiries does not constitute a formal response.

Any views or opinions are given in good faith, and on the best of ability, without prejudice to the formal consideration of any planning application, which will be subject to public consultation and ultimately decided by the Planning Authority.

You should therefore be aware that officers cannot give guarantees about the final formal decision that will be made on your planning or related applications. However, the advice note will form the basis of our consultation response to the Planning Authority, who will determine any subsequent planning applications, subject to the proviso that circumstances and information may change or come to light that could alter the position.

It should be noted that little or no weight will be given to the content of the Councils preapplication advice for schemes submitted more than 12 months after the date of the advice being used.

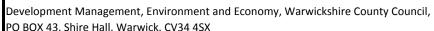
We cannot guarantee that any subsequent application you make will be valid or will get approval. However, the pre-application advice we give you will aid this process significantly.

All additional charges/invoices should be paid within 30 days.

Any advice given in relation to the planning history of the site, planning constraints or statutory designations does not constitute a formal response under the provisions of the Local Land Charges Act 1975.

# **REQUEST FOR PRE-APPLICATION ADVICE**

This form should be returned to:





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ATTACHI	ED INFORMATION													
	A site location plan (scale 1:1250) with site extents indicated										g national, Id policies	regio	onal ar	nd local
	Schedule of existing uses, including planning history with reference numbers							Parking strategy, including provision for all forms of transport						
	Description, (including site layout plans) of the proposed development and use classes						F	Relevant data collected to date						
	Summary of reasons supporting site access/highway						or Category D - F proposals							
	works proposals, in plan (scale 1:200 or sim achievable visibility splays indicated							Information related to any necessary Transport Statement/Assessment						
	Stage 1 Road Safety Audit (if required) of proposed highway works and designers response with							Proposed trip rates supported with TRICS outputs and site selection methodology						
	amended plans  Location plan of key services indicating locations of							Proposed traffic growth factors supported w NTEM/TEMPRO growth factors and methodo						
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